



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61B 5/05</b>		<b>A1</b>	(11) International Publication Number: <b>WO 97/38628</b>
			(43) International Publication Date: 23 October 1997 (23.10.97)
(21) International Application Number: PCT/US97/06369		(74) Agents: PISANO, Nicola, A. et al.; Fish & Neave, 1251 Avenue of the Americas, New York, NY 10020 (US).	
(22) International Filing Date: 17 April 1997 (17.04.97)			
(30) Priority Data: 08/634,758 17 April 1996 (17.04.96) US 08/726,822 4 October 1996 (04.10.96) US		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(60) Parent Applications or Grants (63) Related by Continuation US 08/634,758 (CIP) Filed on 17 April 1996 (17.04.96) US 08/726,822 (CIP) Filed on 4 October 1996 (04.10.96)		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant (for all designated States except US): UROHEALTH, INC. (CALIFORNIA) [US/US]; Suite 100, 5 Civic Plaza, Newport Beach, CA 92660 (US).			
(72) Inventor; and (75) Inventor/Applicant (for US only): SHMULEWITZ, Ascher [US/US]; 4338 West Mercer Way, Mercer Island, WA 98040 (US).			
(54) Title: APPARATUS AND METHODS OF BIOELECTRICAL IMPEDANCE ANALYSIS OF BLOOD FLOW			
(57) Abstract			
<p>Apparatus and methods are provided for monitoring cardiac output using bioelectrical impedance techniques in which first and second electrodes are placed in the trachea (103) and/or bronchus (104a) in the vicinity of the ascending aorta (101a), while an excitation current is injected into the thorax (100) via first and second current electrodes (13), so that bioelectrical impedance measurements based on the voltage drop sensed by the first and second electrodes reflect voltage changes induced primarily by blood flow dynamics, rather than respiratory or non-cardiac related physiological effects. Additionally sense electrodes (12) may be provided, either internally, or externally, for which bioelectrical impedance values may be obtained. Methods are provided for computing cardiac output from bioelectrical impedance values. Apparatus and methods are also provided so that the measured cardiac output may be used to control administration of intravenous fluids to an organism or to optimize a heart rate controlled by a pacemaker.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

APPARATUS AND METHODS OF BIOELECTRICAL  
IMPEDANCE ANALYSIS OF BLOOD FLOW

5     Field Of The Invention

          The present invention relates generally to  
apparatus and methods for non-invasively measuring  
cardiac output and, more particularly, to apparatus and  
10    methods for measuring cardiac output using  
bioelectrical impedance analysis techniques.

Background Of The Invention

15           Knowledge of cardiac output is crucial in the  
care of critically ill patients, as well as patients  
with chronic heart disease requiring monitoring of  
medication. For many years the standard of cardiac  
output measurement has been pulmonary artery  
20    catheterization. Previously known catheterization  
techniques, as described, for example, in U.S. Patent  
Nos. 3,915,155, 3,726,269 and 3,651,318, involve  
periodic injection into the patient's bloodstream of a  
bolus of heated saline, during which thermodilution  
25    measurements are performed to determine cardiac output.  
Such techniques cannot generally be used for continuous  
monitoring. Moreover, such catheterization techniques  
pose significant risk to the patient, including

- 2 -

5 malignant arrhythmias, pulmonary artery rupture, and in rare cases, death.

Consequently, for many years work has been underway to develop less invasive apparatus and methods for monitoring cardiac output. For example, as an  
10 alternative to catheterization methods, Doppler ultrasound techniques have been adapted to measure the velocity of blood flow. If the diameter of a vessel, its flow profile, and the angle of the ultrasound beam relative to the vessel can be determined, Doppler  
15 ultrasound measurements of the ascending aorta, either externally (from the suprasternal notch) or internally (from within the trachea) can be used as a measure of cardiac output.

U.S. Patent 4,671,295 describes an example of  
20 such methods and apparatus, wherein an ultrasound transducer is mounted on the tip of an endotracheal tube so that Doppler measurements of blood flow from a point (pulse wave mode) or path (continuous wave mode) along the ultrasound beam can be measured. The method  
25 described in the patent requires multiple measurements within the blood vessel, a priori knowledge of the blood flow pattern and cross-sectional area of the vessel, and the relative angulation of the blood vessel. In addition, the measurement is highly  
30 dependent upon the exact placement of the transducer. These drawbacks have resulted in the slow adoption of Doppler ultrasound cardiac output techniques.

A yet further technique which the prior art has sought to apply to the measurement of cardiac  
35 output is bioelectrical impedance analysis ("BIA"). BIA has recently gained wide use as a method for measuring body composition and physiological metrics. BIA involves passing a low level electrical alternating current ("AC") through body tissues between multiple

- 3 -

5 electrodes, measuring the voltage difference between multiple locations on the tissue, and then calculating the electrical impedance (electrical resistance plus reactance) of the stimulated tissue.

Generally, BIA apparatus employ two current  
10 electrodes to conduct a low level excitation current through body tissue. As current flows in the tissue, a potential difference develops across the tissue which is proportional to the value of the AC current and the tissue impedance. The tissue impedance may be  
15 calculated by disposing two sense electrodes between the current electrodes and measuring the voltage difference between the two sense electrodes.

Current flows predominantly through body materials with high conductivity, such as blood. Less  
20 current flows through muscle, which has an intermediate conductivity, while the conductivity of fat, air and bone is much lower than that of either blood or muscle. Because the resistance to current flow is a function of the conductivity and cross-sectional area of the  
25 conducting volume, volumes having a larger cross-sectional area have lower electrical resistance.

It is also known that the impedance of the conducting volume and the measured medium metrics (i.e., static parameters such as fat or water content,  
30 and dynamic metrics, such as blood flow) are dependent upon the placement of the electrodes and the conducting path between the electrodes. Thus, the greater the distance between the electrodes, the more likely that extraneous variables will affect the measurement.

35 Previously known BIA methods generally correlate the measured voltage drop between the sense electrodes to tissue impedance using relatively simple algorithms based on simplified models of body structure, for example, by assuming that the body is

- 4 -

5 composed of simple cylindrical resistive volumes.  
Temporal cyclical variations in the body impedance are  
then assumed to result from physiological events such  
as blood flow and breathing.

10 Measurements of the electrical impedance, and  
particularly, the time-varying nature of electrical  
impedance, may therefore provide a non-invasive  
indicator of physiological events. Various algorithms  
have been developed to isolate specific physiological  
15 parameters, such as cardiac output, from the measured  
bioelectrical impedance, as described, for example, in  
W.G. Kubicek, et al., "Development And Evaluation Of An  
Impedance Cardiac Output System," Aerospace Medicine,  
Vol. 37, pp. 1208-1212 (1966) and U.S. Patent No.  
3,340,862, which is incorporated herein by reference.

20 Despite the application of BIA methods for  
measuring cardiac output, no simple continuous BIA -  
based cardiac output measurement device has gained  
widespread acceptance. Many existing BIA devices use  
external or internal electrodes to measure  
25 bioelectrical impedance for large volumes, for example,  
the whole body or thoracic segments. Because the  
excitation current diffuses throughout the entire  
volume, making use of any and all conductive paths,  
differences between individual patients, and even for  
30 the same patient over time, may inhibit standardizing  
the BIA metrics.

Moreover, it is known that while BIA  
measurements of cardiac output provide good correlation  
for normal patients and those hemodynamically stable  
35 patients, there is poorer correlation for critically  
ill patients and patients in heart failure, as  
described, for example, in R.J. Detemeter et al., "The  
Use Of Noninvasive Bioelectric Impedance To Determine

- 5 -

5     Cardiac Output: Factors Affecting Its Accuracy," Am. J. Noninvasive Cardiol., Vol. 2, pp. 112-118 (1988).

          An example of an attempt to overcome the variabilities encountered when taking bioelectrical impedance measurements across large volumes is  
10     described, for example, in U.S. Patent No. 4,870,578. That patent describes BIA apparatus for monitoring cardiac output by using external electrodes that measure the electrical resistance of a segment of the thorax and includes circuitry to account for  
15     respiratory-induced voltage changes. As acknowledged in that patent, the respiratory-induced voltage changes are typically much greater than the cardiac-induced voltage changes.

          Other devices that attempt to account for the  
20     effect of non-cardiac physiological events on bioelectrical impedance include arranging multiple electrodes on esophageal catheters to measure thoracic bioelectric impedance, as described, for example, in U.S. Patent Nos. 4,852,580 and 4,836,214. Both patents  
25     describe multi-electrode arrays inserted into the esophagus to provide an impedance measurement reflecting blood flow in the descending aorta. Such devices are not believed to provide true isolation of cardiac-induced voltage changes from those induced by  
30     other physiological events. In addition, these systems do not ensure that the multiple electrodes make positive contact with the esophageal wall.

          BIA measurements have also been employed to provide a metric of cardiac output by measuring  
35     physiologic effects other than blood flow. For example, U.S. Patent No. 4,953,556 describes a BIA arrangement including an internal electrode mounted on an esophageal catheter and an external electrode which is disposed above the apex of the heart. The apparatus

- 6 -

5 described in that patent attempts to use BIA measurements to determine cardiac wall motion and lung motion, from which an estimate of cardiac output and pulmonary activity can be obtained.

10 In view of the foregoing, it would be desirable to provide apparatus and methods for accurately, non-invasively and continuously measuring cardiac output using BIA techniques.

15 It further would be desirable to provide apparatus and methods for measuring cardiac output in critically ill patients using BIA techniques that overcome the inaccuracies arising from measuring voltage changes across whole body or large volume thoracic segments.

20 It also would be desirable to provide inexpensive apparatus and methods for measuring cardiac output using BIA techniques that overcome the drawbacks of previously known BIA cardiac output measurement devices and methods.

25 It would further be desirable to provide apparatus and methods for continuously monitoring cardiac output so as to permit the measured cardiac output to be employed as a metric for controlling and maintaining other aspects of a patient's health.

30 Summary Of The Invention

In view of the foregoing, it is an object of this invention to provide apparatus and methods for accurately, non-invasively and continuously measuring cardiac output using BIA techniques.

35 It is another object of this invention to provide apparatus and methods for measuring cardiac output in critically ill patients using BIA techniques that overcome the inaccuracies arising from measuring



- 7 -

5 voltage changes across the whole body or large volume thoracic segments.

It is yet another object of the present invention to provide inexpensive apparatus and methods for measuring cardiac output using BIA techniques that  
10 overcome the drawbacks of previously known BIA cardiac output measurement devices and methods.

It is still another object of this invention to provide apparatus and methods for continuously monitoring cardiac output that permit the measured  
15 cardiac output to be employed as a metric for controlling and maintaining other aspects of a patient's health.

These and other objects of the invention are accomplished in accordance with the principles of the invention by providing BIA cardiac output monitoring  
20 apparatus that can be disposed within a patient's airway (e.g., trachea and/or bronchus) in close relation to the ascending aorta to acquire cardiac output information. Apparatus in accordance with the present invention includes: 1) one or more sense  
25 electrodes placed in the patient's trachea in the vicinity of the ascending aorta; 2) at least two current electrodes disposed either on an exterior surface of the patient's thorax or within the patient's trachea; and 3) optionally, at least one sense  
30 electrode disposed on the patient's exterior surface in the vicinity of the suprasternal notch.

In accordance with the principles of the invention, current conducted between the current  
35 electrodes flows throughout the thorax, and passes preferentially through blood because of its high conductivity, relative to other body materials. The sense electrodes primarily sense the voltage drop in the blood in the ascending aorta. Because the

- 8 -

5 impedance of the blood in the aorta changes with the  
volume of blood flowing through the aorta, the measured  
voltage drop between the sense electrodes varies with  
blood flow. The time-varying differences in the sensed  
10 voltage, therefore, are primarily caused by blood flow  
dynamics, rather than respiratory or non-cardiac  
related physiological effects.

Methods in accordance with the present  
invention overcome the inaccuracies of the gross  
physiologic models employed in previously known BIA  
15 cardiac methods, by avoiding the simplified algorithms  
for the ventricular stroke volume based on whole thorax  
BIA measurements. In particular, the methods of the  
present invention avoid the inaccuracies in whole body  
or thoracic BIA measurements associated with ignoring  
20 the multiple, branched and complex paths of blood flow.

In accordance with the present invention, the  
ability to obtain BIA measurements in the vicinity of  
the ascending aorta, which has no branches other than  
the coronary arteries, and which therefore closely  
25 reflects the blood flow through the ascending aorta,  
provides a simple and highly accurate metric for  
computing ventricular stroke volume.

In yet further aspects of the present  
invention, the apparatus for monitoring a patient's  
30 cardiac output may be used to control administration of  
intravenous fluids to a patient or to optimize heart  
rate for those patients having pacemakers.

#### Brief Description Of The Drawings

35 Further features of the invention, its nature  
and various advantages will be more apparent from the  
accompanying drawings and the following detailed  
description of the preferred embodiments:

- 9 -

5               FIGS. 1A and 1B are idealized models of the volumes upon which previously known bioelectrical impedance algorithms are based;

              FIGS. 2A and 2B are a vertical frontal view of the upper portion of a human body and a front view  
10           of the ascending aorta, the esophagus and the trachea, respectively;

              FIGS. 3A-3C are schematic views (including block diagrams) of members of a first family of embodiments of the present invention, wherein the  
15           current electrodes are disposed on an external surface of the patient's thorax;

              FIGS. 4A-4C are schematic views (including block diagrams) of members of a second family of embodiments of the present invention, wherein the  
20           current electrodes are disposed within the patient's airway;

              FIGS. 5A and 5B are, respectively, a perspective view and a cross-sectional view along view  
25           line 5B--5B, of an illustrative member of the first family of embodiments of the present invention;

              FIGS. 6A and 6B are, respectively, a perspective view and a cross-sectional view along view  
line 6B--6B, of an illustrative member of the second family of embodiments of the present invention;

30           FIGS. 7A and 7B are, respectively, a perspective view and a cross-sectional view along view  
line 7B--7B, of an alternative member of the second family of embodiments of the present invention;

              FIG. 8 is a graph showing the relationship  
35           between cardiac events and the first derivative of the bioelectrical impedance; and

              FIGS. 9A and 9B are, respectively, schematic diagrams showing systems for administering fluids to a patient and for controlling heart rate for patients

- 10 -

5     having pacemakers, respectively, constructed in  
accordance with the principles of the present  
invention.

Detailed Description Of The Invention

10

The present invention relates generally to  
BIA apparatus for use in measuring cardiac output in  
patients, including critically ill and heart-diseased  
patients, as well as patients undergoing elective  
15     surgery. The apparatus and methods of the present  
invention overcome drawbacks observed in previously  
known attempts to use whole body or large volume  
thoracic BIA measurements to measure cardiac output, by  
providing apparatus and methods that are not based upon  
20     the gross modeling of physiological events implicit in  
such previously known BIA measurement techniques.

In a first family of embodiments of the  
apparatus and methods of the present invention, two or  
more sense electrodes are disposed in contact with a  
25     patient's airway (trachea and/or bronchus) in close  
relation to and along the axis of the ascending aorta,  
so that changes in bioelectrical impedance can be  
closely correlated to cardiac events, without  
significant effects due to non-cardiac physiologic  
30     events. Excitation AC current is injected into the  
body between two or more current electrodes disposed on  
the exterior of the patient's thorax. In a second  
family of embodiments constructed in accordance with  
the present invention, the excitation current is  
35     injected into the patient's thorax by current  
electrodes disposed in the patient's airway, preferably  
in the vicinity of the pharynx and bronchus.

In both families of embodiments,  
bioelectrical impedance is computed from the voltage  
40     drop measured either between two or more of the sense

- 11 -

5 electrodes disposed in the patient's airway or one or  
more of the sense electrodes disposed in the patient's  
airway and one or more external electrodes disposed in  
the vicinity of the patient's suprasternal notch. The  
value of bioelectrical impedance is in turn correlated  
10 to blood flow through the ascending aorta. Because the  
ascending aorta has no other branches other than the  
coronary arteries, blood flow through the ascending  
aorta may be closely correlated to cardiac output.

It is known in the medical literature that  
15 BIA measurements of cardiac output in general show good  
correlation for normal patients and hemodynamically  
stable patients, but much poorer correlation for  
critically ill patients, and patients in heart failure,  
as discussed in the above-mentioned Detemeter paper.  
20 Applicant has discovered that the reason for this  
poorer correlation in the latter cases is that the  
theoretical basis underlying the use of whole body or  
large volume thoracic measurements may be incorrect.

While the present invention finds ready  
25 application in monitoring cardiac output in critically-  
ill and heart diseased patients, it may be  
advantageously used for all intubated patients,  
including pediatric cases. For example, apparatus  
constructed in accordance with the present invention  
30 may be readily employed in asymptomatic patients  
undergoing elective surgery. As many as 95% of post-  
operative deaths in the latter population result from  
hemodynamic failure.

Previously known techniques derive the  
35 equation for ventricular stroke volume ("SV") from the  
assumption that a time-varying column of blood, in  
parallel with the other conducting material in the  
thorax, changes from zero to the full stroke volume  
during the cardiac cycle. The column of blood is

- 12 -

5 assumed to be the length between the electrodes used to obtain the BIA measurements, with effects on the BIA measurements due to respiration accounted for, for example, as discussed in the aforementioned U.S. Patent No. 4,870,578.

10 FIG. 1A illustrates a typical previously known BIA algorithm. Cardiac output is estimated from the bioelectrical impedance measurement  $I(t)$ , where it is assumed that changes in the bioelectrical impedance coincidental with the heart electrical activity (as  
15 represented by an electrocardiograph output) are the result of blood flow  $F(t)$ . A transfer function  $T(t)$  is then based upon empirical formulae derived from measurements taken on healthy, hemodynamically stable subjects. The bioelectrical impedance  $I(t)$  is then  
20 computed as:

$$I(t) = F(t) * T(t) \quad (1)$$

Applicant has determined, however, that the  
25 foregoing assumption regarding the column of blood ignores the branched, multiple and complex paths present in the arterial system. Moreover, the distribution of blood and fluids between different physiologic "compartments" in the idealized thoracic or  
30 whole body model and body regions are different in normal and critically ill patients.

FIG. 1B illustrates that the thoracic  
approach to BIA measurement must account for transfer  
functions appropriate to each of the multiple blood  
35 flow paths through the volume, so that bioelectrical impedance  $I(t)$  should be computed as:

$$I(t) = \sum F_i(t) * T_i(t) * W_i \quad (2)$$

- 13 -

5     where  $W_i$  are weights corresponding to *a priori* knowledge  
of the relative distribution of flow through the  
various segments of the volume, e.g., the aorta, and  
arterial segments and other fluid chambers. Moreover,  
the weights  $W_i$  may be different for different patients,  
10    may be different for chronically ill as opposed to  
healthy subjects, and may be variable even within a  
given patient, e.g., due to changes in heart rate.

Applicant has discovered, however, that  
equation (1) may be used accurately for any patient  
15    provided that the transfer function  $T(t)$  is correlated  
to measured blood flow (e.g., using a flow meter) so  
that the effect of the distribution weights  $W_i$  can be  
essentially eliminated. Accordingly, applicant has  
concluded that BIA measurements should be taken very  
20    close to a major blood vessel or artery, so that  
between the electrodes of the BIA apparatus there are  
few or no branching vessels or adjacent vessels. The  
present invention therefore involves the use of BIA  
measurements in the vicinity of blood vessels meeting  
25    the foregoing requirements, especially the ascending  
aorta.

Referring to FIG. 2A, the upper portion of a  
human body 100 is shown in outline with the  
corresponding locations of aorta 101, esophagus 102,  
30    trachea 103, and bronchi 104a and 104b (all shown in  
dotted line) and suprasternal notch 105. These  
internal vessels and organs are more clearly depicted  
in FIG. 2B. With reference to FIGS. 2A and 2B, the  
outflow tract of the left ventricle of the heart is  
35    ascending aorta 101a. Segment 101b of the artery (the  
aortic arch) passes in front of right bronchus 104a, in  
front of trachea 103 and then arches behind left  
bronchus 104b into the descending aorta 101c, which  
leads towards the lower part of the body.

- 14 -

5                   Applicant has observed that because ascending  
aorta 101a passes in close proximity to bronchi 104a  
and 104b and trachea 103, it is possible to obtain a  
BIA measurement across ascending aorta 101a, with  
relatively little intervening tissue, by positioning  
10   two or more internal, or internal and external, sense  
electrodes at this location. For example, a first  
sense electrode may be disposed in trachea 103 and a  
second sense electrode may be disposed on the patient's  
external surface near suprasternal notch 105.  
15   Alternatively, two or more sense electrodes may be  
positioned within trachea 103 aligned with the axis of  
ascending aorta 101a, so that at least a first sense  
electrode is disposed above aortic arch 101b and a  
second sense electrode is disposed at a level just  
20   below aortic arch 101b.

AC voltage applied to the patient's tissue by  
current electrodes, positioned either on the external  
surface of the patient or within the patient's airway,  
causes an AC current to flow in the patient's tissue.  
25   The measured voltage difference between the sense  
electrodes is then employed to compute tissue  
impedance. Because the first branches from the aorta  
(other than the coronary arteries) are from aortic arch  
101b, downstream of the measurement location, the  
30   measurement of blood flow from ascending aorta 101a  
accurately measures the volume of blood ejected from  
the left ventricle.

Moreover, the calculated bioelectrical  
impedance  $I(t)$  in equation (1) comprises both a desired  
35   signal  $S(t) = F(t) \cdot T(t)$ , due to aortic blood flow, and  
a noise component,  $N(t)$ , caused by non-cardiac related  
physiological effects, such as body motion and  
respiratory effects. The signal-to-noise ratio ("SNR")  
is computed as:



- 15 -

$$5 \quad \text{SNR} = S(t)/N(t) \quad (3)$$

Accurate measurement of cardiac output requires averaging repeated BIA measurements. If the SNR can be increased, however, less averaging is required to achieve accurate blood flow measurements. Applicant has observed that the SNR is affected by the position of the sense electrodes, and more particularly, that improved SNR may be attained by providing the sense electrodes with preferred orientations relative to the ascending aorta, as described in greater detail hereinbelow.

Apparatus of the present invention is described with respect to two families of embodiments. In the first family of embodiments, excitation AC current is applied to the patient's thorax by two or more current electrodes disposed on an external surface of the thorax; in a second family of embodiments, the current electrodes are disposed within the patient's airway. It is contemplated that the choice of placement of the current electrodes will not substantially effect the BIA values or corresponding cardiac output measurements. Rather, the choice of using internal versus external current electrodes relates to the particular use of the apparatus. For example, internal electrodes may be desirable to reduce the number of wires crossing a sterile operating field, while external electrodes may be desirable for certain short-term cardiac output monitoring situations.

Referring now to FIGS. 3A-3C, illustrative members of a first family of embodiments of the present invention are described. In FIG. 3A, apparatus 10 constructed in accordance with the principles of the present invention is described. Apparatus 10 includes sense electrode apparatus 11, external sense electrode

- 16 -

5     12, current electrodes 13, impedance recorder 110, digital sampler 112 and computer 114. In FIGS. 3, the patient's thorax is denoted by reference numeral 100 while the aortic arch is indicated in cross-section by reference numeral 106.

10             Sense electrode apparatus 11 comprises endotracheal tube 17 having inflatable cuff 18, a lumen for ventilating the patient, and at least one, and preferably two or more, sense electrodes 19. Sense electrodes 19 are carried on wires 20 slidably disposed  
15     in lumens of the endotracheal tube (as shown, for example, in FIG. 5B). Wires 20 deflect outwardly when moved to their deployed position, to urge sense electrodes 19 into contact with the interior surface of the trachea and/or the bronchi.

20             External sense electrode 12 is preferably disposed on the surface of the patient's thorax, in or near the suprasternal notch, while current electrodes 13 are disposed on an exterior surface of patient's thorax 100 at positions adequate to bracket the sense  
25     electrodes. External sense electrode 12 may comprise a spot EKG electrode, for example, model AMI 1750-001, manufactured by Medtronic-Andover Medical, Boston, Massachusetts. Sense electrode apparatus 11, external sense electrode 12, and current electrodes 13 are  
30     coupled to impedance recorder 110 by electrical leads 21. Inflatable cuff 18 engages the interior wall of the trachea to retain and stabilize endotracheal tube 17 in position.

              Impedance recorder 110 may be a commercially  
35     available impedance recorder providing both the current injected by current electrodes 13 (generally less than 1 mA at a frequency of 50-100 kHz) and impedance measuring capability, for example, the Minnesota Impedance Cardiograph Model 304A, operating at 100 kHz.

- 17 -

5 Signals output from the impedance recorder are  
digitally sampled by digital sampler 112, for example,  
at a rate of 250 Hz using a standard 12-bit analog to  
digital converter, available from ComputerBoards, Inc.,  
Mansfield, Massachusetts. The sampled output of  
10 digital sampler 112 is then provided to computer 114,  
for example, an IBM-compatible personal computer having  
an Intel 386 or higher microprocessor, for storage and  
processing, as described hereinafter.

BIA measurements are obtained by injecting  
15 current through current electrodes 13 and measuring the  
voltage between a selected one of the sense electrodes  
19 on sense electrode apparatus 11 and external sense  
electrode 12. In this manner, the voltage drop sensed  
by apparatus 10 corresponds primarily to that induced  
20 by blood flow changes through the ascending aorta. By  
providing two or more sense electrodes on endotracheal  
tube 17, sense electrode 19 closest to aortic arch 106  
may be selected for use in combination with external  
sense electrode 12 to measure the voltages used in  
25 determining bioelectrical impedance. Thus, for  
example, the clinician may use combinations of external  
electrode 12 in combination with each of sense  
electrodes 19 in sequence, to determine which provides  
the strongest signal, and hence, the best measure of  
30 cardiac output.

Alternatively, the voltage drop between sense  
electrodes 19 may be used directly to compute  
bioelectrical impedance, without the use of external  
sense electrode 13. In this instance, endotracheal  
35 tube 17 is positioned within the trachea (using for  
example, radiographic markers disposed on the tube) so  
that one of sense electrodes 19 is located at a height  
even with, or slightly above, aortic arch 106, while  
another sense electrode 19 is disposed at a height just

- 18 -

5 below the aortic arch. When two or more sense electrodes are provided on sense electrode apparatus, the clinician may evaluate various combinations of the sense electrodes to determine which provides the strongest signal.

10 Referring now to FIG. 3B, apparatus 30 is described. Apparatus 30 is similar to that of FIG. 3A, such as described in the preceding paragraph, and comprises sense electrode apparatus 31, current electrodes 32, impedance recorder 110, digital sampler  
15 112 and computer 114. Sense electrode apparatus includes endotracheal tube 33 having inflatable cuff 34 and at least proximal and distal sense electrodes 35 and 36. In this embodiment, proximal and distal sense electrodes are arranged so that, when fully deployed, a  
20 line connecting the two electrodes forms an angle  $\alpha$  with respect to the longitudinal axis of the endotracheal tube.

One of current electrodes 32 is applied to thorax 100 proximally of proximal sense electrode 35  
25 while the other current electrode is applied to the thorax distally of distal electrode 36, so that the current electrodes bracket the sense electrodes. Current from impedance recorder 110 is injected into the patient's thorax 100 by current electrodes 32, and  
30 sensed by proximal and distal sense electrodes 35 and 36. The voltage drop between sense electrodes 35 and 36 is then employed to determine impedance.

In accordance with one aspect of the present invention, the angle  $\alpha$  formed between sense electrodes  
35 35 and 36 and the longitudinal axis of the endotracheal tube is preferably in a range of between 25 and 45 degrees. Applicant has determined that orientation of the sense electrodes in this manner will account for angulation in the aortic anatomy. In particular,

- 19 -

5     applicant expects that by positioning the proximal and distal sense electrodes at an angle with respect to endotracheal tube 31, the sense electrodes will be more nearly aligned with the axis of the ascending aorta, as opposed to the embodiment of FIG. 3A.

10             Referring now to FIG. 3C, apparatus 40 is described. Apparatus 40 constitutes a modified form of apparatus 30 of FIG. 3B, and comprises sense electrode apparatus 41, current electrodes 42, impedance recorder 110, digital sampler 112 and computer 114. Sense  
15     electrode apparatus 41 includes endotracheal tube 43 having inflatable cuff 44, proximal sense electrodes 45 and 46 and distal sense electrodes 47 and 48. Proximal sense electrode 46 and distal sense electrode 47 are arranged so that, when fully deployed, a line  
20     (accounting for the curvature of the endotracheal tube) connecting the two electrodes forms an angle  $\alpha$  with respect to the longitudinal axis of the endotracheal tube. Proximal sense electrode 45 and distal sense electrode 48 are also arranged so that, when fully  
25     deployed, a line connecting the two electrodes forms an angle  $\beta$  with respect to the longitudinal axis of the endotracheal tube. Current electrodes 42 are disposed on thorax 100 so as to bracket all of the sense electrodes on sense electrode  
30     apparatus 41.

           The angle  $\alpha$  formed between sense electrodes 46 and 47 and the longitudinal axis of the endotracheal tube is preferably in a range of between 25 and 45 degrees, while angle  $\beta$  correspondingly is in a range of  
35     65 to 45 degrees. Preferably, sense electrodes 45 and 48 are orthogonal to electrode pair 46 and 47. Thus, whereas sense electrodes 46 and 47 will be more nearly aligned with the axis of the ascending aorta ("on-

- 20 -

5 axis"), sense electrode pair 45 and 48 will be oriented normal to the axis of the aorta ("normal-to-axis") and provide a signal effected by non-blood flow effects, such as respiratory effects and cardiac wall motion.

Accordingly, the voltage drop measured  
10 between sense electrodes 46 and 47 may be used to calculate an "on-axis" impedance, corresponding to blood flow through the ascending aorta and any non-cardiac effects, while the voltage drop measured between sense electrodes 45 and 48 may be used to  
15 calculate a "normal-to-axis" impedance that is expected to correspond primarily to non-blood flow effects. Applicant contemplates that by subtracting the normal-to-axis impedance from the on-axis impedance, the resulting impedance value will correspond predominantly  
20 to blood flow, and have a higher SNR than may be attained with the apparatus of FIGS. 3A or 3B.

Referring now to FIGS. 4A-4C, the second family of embodiments of the present invention are described. In the following descriptions of FIGS. 4A-  
25 4C, impedance recorder 110, digital sampler 112, and computer 114 provide the same functionality as described hereinabove with respect to FIGS. 3, and will therefore be omitted from further detailed discussion.

With respect to FIG. 4A, a first illustrative  
30 member of the second family of embodiments of the present invention is described. Apparatus 50 is similar to that described above with respect to FIG. 3A, except that in apparatus 50 the current electrodes are disposed on the endotracheal tube, rather than the  
35 exterior surface of the thorax. In particular, apparatus 50 includes endotracheal tube 51, two or more sense electrodes 52, external sense electrode 53, inflatable cuffs 53 and 54, and proximal and distal current electrodes 55 and 56 mounted on inflatable

- 21 -

5 cuffs 53 and 54, respectively. Electrodes 52, 53, 55 and 56 are coupled to impedance recorder 110 by leads 57.

Proximal current electrode 55 is disposed in the patient's trachea so that it contacts either the  
10 pharynx or larynx when inflatable cuff 55 is inflated. Distal current electrode 56 is disposed to contact the interior wall of the patient's trachea, for example, at a height below the xiphoid process, when inflatable cuff 54 is inflated. Current electrodes 55 and 56  
15 conduct an AC excitation current from impedance recorder 110 to the patient's thorax.

Sense electrodes 52 and 53 may be employed as described hereinabove with respect to FIG. 3A. In particular, impedance values may be determined based  
20 upon either voltages measured between a selected one of sense electrodes 52 and external electrode 53, between sense electrodes 52 positioned above and below aortic arch 106, or a combination thereof.

In FIG. 4B, an alternative member of the  
25 second family of embodiments is described. Apparatus 60 mirrors apparatus 30 of FIG. 3B, except that apparatus 60 includes proximal and distal current electrodes 67 and 68 disposed on inflatable cuffs 64 and 65 respectively. Proximal and distal sense  
30 electrodes 62 and 63 are arranged so that, when fully deployed, a line between the two electrodes forms an angle  $\alpha$  with respect to the longitudinal axis of endotracheal tube 61. Angle  $\alpha$  preferably is in a range of between 25 to 45 degrees, so that the electrodes are  
35 more nearly aligned with the axis of the ascending aorta.

In FIG. 4C, a further alternative member of the second family of embodiments is described. Apparatus 70 is similar to that described above with

- 22 -

5      respect to apparatus 40 of FIG. 3C. Apparatus 70  
includes proximal and distal current electrodes as  
described with respect to FIG. 4B, and which operate in  
like manner to serve a like purpose. Apparatus 70  
includes a pair of "on-axis" sense electrodes 72 and 73  
10      and a pair of "normal-to-axis" electrodes 74 and 75, as  
described above with respect to FIG. 3C. Applicant  
expects that apparatus 70 will provide higher SNR than  
the embodiments of FIGS. 4A and 4B, because respiratory  
effects and wall motion artifacts may be removed from  
15      the impedances by taking differences between the "on-  
axis" voltage drop and the "normal-to-axis" voltage  
drop.

Referring now to FIGS. 5A and 5B, an  
illustrative embodiment of sense electrode apparatus 80  
20      similar to that of FIG. 3B is described in greater  
detail. Apparatus 80 comprises endotracheal tube 81  
carrying proximal sense electrode 82, distal sense  
electrode 83, and inflatable cuff 84. As shown in FIG.  
5B, endotracheal tube 81 includes lumen 85 for  
25      providing ventilation to the patient during intubation,  
lumen 86 through which proximal sense electrode 82 may  
be reciprocated, lumen 87 through which distal sense  
electrode 83 may be reciprocated, and lumen 88 for  
inflating inflatable cuff 84.

30      Sense electrodes 82 and 83 preferably  
comprise electrically insulated stainless steel wires  
about 0.020 inches (0.051 mm) thick that are pre-  
stressed to deflect outwardly when extended from lumens  
86 and 87, thus urging the electrodes into contact with  
35      the interior wall of the patient's airway (e.g.,  
trachea or bronchus). Each of sense electrodes 82 and  
83 preferably includes an exposed ellipsoidal or  
spherical region at its distal end that provides an  
atraumatic tip. Sense electrodes are arranged so that,



- 23 -

5 when fully deployed from lumens 86 and 87, a line  
between the tips of the electrodes preferably forms an  
angle in a range of between 25 and 45 degrees with  
respect to the longitudinal axis of the endotracheal  
tube.

10 Sense electrode 82 enters lumen 86 through  
opening 90 at the proximal end of endotracheal tube 81  
and exits lumen 86 through skive 91 that opens to the  
lateral face of endotracheal tube 81. Sense electrode  
83 enters lumen 87 through opening 92 and exits lumen  
15 87 through skive 93 near the distal end of endotracheal  
tube 81. Each of the sense electrodes preferably  
includes a 0.0005 inch (0.013 mm) thick layer of  
insulation over the length of the electrode that  
extends outside of lumens 86 and 87, respectively,  
20 except that the ellipsoidal or spherical members at the  
distal ends of the electrodes are uninsulated to  
provide electrical connection to the interior wall of  
the patient's airway.

Each of sense electrodes 82 and 83 includes a  
25 proximal end having positioning and locking hub 94, and  
is disposed for sliding movement through connector  
block 95. Connector block 95 permits a sliding  
electrical connection to be established between each  
sense electrode and the connector block, while  
30 permitting the sense electrodes to be moved proximally  
and distally therethrough. Plug 96 couples sense  
electrodes 82 and 83 to impedance recorder 110 via  
cable 97 electrically connected to connector block 95.

The interior of inflatable cuff 84 is in  
35 fluid communication with insufflation port 98 via lumen  
88 of endotracheal tube 81. When inflated, inflatable  
cuff 84 retains endotracheal tube 81 in position within  
the patient's airway, thereby preventing inadvertent  
movement of the endotracheal tube. Inflatable cuff 84

- 24 -

5 also assists in urging sense electrodes 82 and 83 into  
contact with the interior wall of the trachea.  
Inflatable cuff 84 may be inflated using conventional  
inflation means (i.e., a liquid filled syringe or  
pressurized gas cylinder) connected to insufflation  
10 port 98 via lumen 88. Alternatively, inflatable cuff  
84 may be replaced by another suitable type of  
expandable member for urging the sense electrodes  
against the interior wall of the patient's airway, such  
as an expanding mandrel, or other mechanical  
15 arrangement.

The proximal end of endotracheal tube 81,  
i.e., the end manipulated by the clinician, may include  
reference marks 99 on the circumference of the tube  
that reflect the circumferential orientation of  
20 electrodes 82 and 83 within the patient's trachea. The  
reference marks may be used to ensure proper  
registration of electrodes 82 and 83 with the portion  
of the tracheal wall nearest to the ascending aorta.

In an alternative embodiment of sense  
25 electrode apparatus of FIGS. 5 (not shown), more than  
two sense electrodes may be disposed on the  
endotracheal tube, so that the signals received from  
the electrodes may be optimally configured by the  
clinician after the endotracheal tube has been disposed  
30 in the patient's trachea. In this manner, a certain  
pair of the sense electrodes may be selected to provide  
an optimal output according to some predetermined  
metric, for example, the highest SNR. In such an  
embodiment, the impedance recorder or digital sampler  
35 may be modified to include suitable selection and  
switching logic, either as hardware or software, to  
select which sense electrodes contribute to the  
computed cardiac output.

- 25 -

5                   Referring now to FIGS. 6A and 6B, an  
illustrative embodiment of apparatus 120 similar to  
that of apparatus 70 of FIG. 4C is described in greater  
detail. Apparatus 120 comprises endotracheal tube 121  
carrying proximal sense electrodes 122 and 123, distal  
10 sense electrodes 124 and 125, and current electrodes  
126 and 127 disposed on inflatable cuffs 128 and 129.  
As shown in FIG. 6B, endotracheal tube 121 includes  
lumen 130 for providing ventilation to the patient  
during intubation, lumens 131 and 132 through which  
15 proximal sense electrodes 122 and 123 may be  
reciprocated, lumens 133 and 134 through which distal  
sense electrodes 124 and 125 may be reciprocated, lumen  
135 through which the electrical leads wires 136 for  
current electrodes 126 and 127 extend, and lumens 137  
20 and 138 for inflating inflatable cuffs 128 and 129,  
respectively.

Sense electrodes are arranged so that, when  
fully deployed from their respective lumens, a line  
between the tips of electrodes 123 and 125 preferably  
25 forms an angle in a range of between 25 and 45 degrees  
with respect to the longitudinal axis of the  
endotracheal tube, thus approximating the angular  
orientation of the aortic anatomy. Also, a line  
between sense electrodes 122 and 124, when those  
30 electrodes are fully deployed, preferably is orthogonal  
to a line between electrodes 123 and 125. Apparatus  
120 thereby permits determination of impedances "on-  
axis" and "normal-to-axis" as described with  
hereinabove with respect to FIG. 4C.

35                   Sense electrodes 122-125 enter respective  
lumens 131-134 through openings near the proximal end  
of endotracheal tube 121, exit the respective lumens  
through skives that open to the lateral face of the  
endotracheal tube, and are reciprocable through the

- 26 -

5 lumens in the same manner as sense electrodes 82 and 83  
of the embodiment of FIGS. 5. Sense electrodes  
likewise are constructed as described above with  
respect to the embodiment of FIGS. 5, and are  
electrically coupled to plug 139 via connector block  
10 140 and cable 141.

Inflatable cuffs 128 and 129 are in fluid  
communication with insufflation ports 142 via lumens  
137 and 138 of endotracheal tube 121, and may be  
inflated as described above with respect to the  
15 embodiment of FIGS. 5. When inflated, inflatable cuffs  
128 and 129 retain endotracheal tube 121 in position  
within the patient's airway, and serve to urge current  
electrodes 126 and 127 into electrical contact with the  
interior wall of the patient's airway.

20 Current electrodes 126 and 127 preferably  
comprise conductive foil strips about 6 to 10 cm in  
height, for example, Type M6001, available from the 3M  
Company, St. Paul, Minnesota, and may extend around the  
entire circumferences of inflatable cuffs 128 and 129,  
25 or may extend over only a portion of the circumference.  
The current electrodes may be disposed on the exterior  
of the inflatable cuffs using a suitable adhesive or  
fastening means. Proximal current electrode 126 is  
preferably connected to electrical ground.  
30 Alternatively, if inflatable cuffs 128 and 129 are  
eccentrically shaped, the current electrodes may be  
attached directly to the exterior of endotracheal  
tube 121.

The proximal end of endotracheal tube 121 may  
35 also include reference marks to assist in determining  
the circumferential orientation of the endotracheal  
tube within the patient's airway.

An alternative embodiment of the apparatus of  
FIGS. 6 is now described with respect to apparatus 150

- 27 -

5 of FIGS. 7A and 7B. Apparatus 150 comprises  
endotracheal tube 151 having proximal spot-type sense  
electrodes 152 and 153, and distal spot-type sense  
electrodes 154 and 155, disposed on elongate inflatable  
member 156, and current electrodes 157 and 158  
10 disposed, respectively, on inflatable cuffs 159 and  
160. In accordance with the high SNR aspect of the  
invention, a line between electrodes 153 and 154  
preferably forms an angle  $\alpha$  in a range of between 25  
and 45 degrees with respect to the longitudinal axis of  
15 endotracheal tube 151, and orthogonal to a line between  
electrodes 152 and 155.

Elongate inflatable member 156 is  
inflated by insufflation port 161 via lumen 162 (see  
FIG. 7B), while inflatable cuffs 159 and 160 are  
20 inflated via insufflation port 163 and lumen 164, and  
insufflation port 165 and lumen 166, respectively.  
Electrical lead wires coupling current electrodes 158  
and 159 to plug 167 are routed through lumen 168, while  
electrical lead wires coupling sense electrodes 152-155  
25 to plug 169 are routed through lumen 170. Endotracheal  
tube 151 includes passageway 171 for providing  
ventilation and administering oxygen during intubation.  
The proximal end of endotracheal tube 121 may also  
include reference marks for determining the  
30 circumferential orientation of the endotracheal tube  
when inserted in the patient's airway.

When inflated, elongate inflatable member  
156, which may be eccentric in shape, urges sense  
electrodes 152-155 into electrical contact with the  
35 interior of the patient's airway. Likewise, inflatable  
cuffs 159 and 160 urge current electrodes into  
electrical contact with the interior walls of the  
patient's airway at locations proximal and distal to  
elongate inflatable member 156.

- 28 -

5                   Current electrodes 158 and 159 may comprise  
conductive foil strips of the type mentioned  
hereinabove, and may extend around the entire  
circumferences of inflatable cuffs 128 and 129, or may  
extend over only a portion of the circumference. Sense  
10 electrodes 152-155 may likewise be fashioned from  
conductive foil strips or from spot-type EKG  
electrodes, also as described hereinabove. The current  
and sense electrodes are fastened to the exterior of  
the inflatable member and cuffs using a suitable  
15 adhesive or fastening means.

Operation of any of the above-described  
embodiments of the present invention is now briefly  
described. First, the endotracheal tube is inserted  
into the patient through the nasal cavity, past the  
20 epiglottis and into the trachea in accordance with  
standard intubation practice. If the apparatus of the  
present invention is to be used for only a relatively  
short period of time, e.g., while a patient is  
anesthetized during surgery, an endotracheal tube  
25 alternatively may be inserted into the trachea via the  
mouth. Alternatively, access to the trachea may be had  
through a surgical opening at the suprasternal notch by  
conventional tracheotomy.

Using the reference marks on the  
30 circumference of the proximal end of the endotracheal  
tube (if present), the clinician may manipulate the  
endotracheal tube to ensure proper orientation of the  
endotracheal tube within the patient's airway. The  
inflatable cuff or cuffs then are inflated to stabilize  
35 the endotracheal tube, and the hubs of the sense  
electrodes are moved distally to deploy the sense  
electrodes into electrical contact with the interior  
wall of the patient's trachea and/or bronchus (or for  
the embodiment of FIGS. 7, the elongate inflatable

- 29 -

5 member is inflated). The current electrodes are either urged into contact with the interior of the patient's airway, by inflating the inflatable cuffs, or are separately applied to the external surface of the patient. The sense electrodes (optionally including  
10 the external sense electrode) and current electrodes are then connected to impedance recorder 110. Bioelectrical impedance values may be then determined for processing as described hereinbelow.

A method of computing cardiac output in  
15 accordance with the well-known Kubicek equation is now described. Referring to FIG. 8, the first derivative of the measured impedance ( $dZ/dt$ ) (curve I) is compared to a typical electrocardiograph waveform (curve II) for a normal patient, where the components of the waveform  
20 describing events within the cardiac cycle are labeled. Curve I includes an A-wave component, due to atrial activity at the beginning of the cardiac cycle, represented by a downward deflection in the curve. The I-wave component represents an upward deflection in  
25 curve I occurring during isometric contraction. The B-wave component corresponds to the start of blood flow out of the ventricles, while the C-wave component of curve I represents the major upward deflection during the cardiac cycle. The amplitude of this deflection,  
30 measured from the zero point, is used in the calculation of the ventricular stroke volume ("SV"). The X and Y points of curve I reflect closure of the aorta and pulmonary valves, respectively. Point O corresponds to rapid filling of the ventricles.

35 SV is calculated according to equation (4) as:

$$SV = \rho(L/Z_0)^2 * (dZ/dt_p) \tau \quad (4)$$

- 30 -

5       where:

- SV     =    ventricular stroke volume, ml;  
ρ     =    resistivity of blood (in normal  
          patients, about 150-200 ohm-cm/s, and  
          can be corrected for each patient as a  
10       function of hematocrit);  
L     =    distance between the sense electrodes,  
          cm;  
Z<sub>0</sub>   =    mean impedance between the measurement  
          electrodes, ohms;  
15       dZ/dt<sub>p</sub> = peak value of the upward deflection in  
          the first derivative of the impedance  
          waveform (amplitude of C-wave); and  
τ     =    ventricular ejection time (computed as  
          the period between the occurrence of the  
20       B-wave component and point X in curve  
          I).

          The digitized first derivative of the  
impedance determined by the impedance recorder is  
analyzed to extract the B-wave and C-wave components  
25       and the X deflection point. The amplitude of the B-C-X  
portion of the curve I waveform, and the time between  
these segments are then employed to compute stroke  
volume using equation (4). The distance between the  
electrodes L is either known as a manufacturing  
30       parameter (where the sense electrodes are all on the  
endotracheal tube) or may be computed based on external  
dimensions (where an external sense electrode is  
employed, as in FIG. 3A).

          In a preferred embodiment of the invention,  
35       SV is continuously computed for each data segment that  
is of good signal quality, i.e., where the amplitude of  
the derivative of the impedance signal is above a  
certain quality metric. The SV may be continuously  
updated on a display (not shown) associated with



- 31 -

5 computer 114, and may consist of a running average of the current and a user-selectable number of preceding cardiac cycles. Cardiac output may then be computed as the product of the instantaneous average SV and the heart rate, and also displayed numerically.

10 Alternatively and in accordance with the methods of the present invention, equations for computing cardiac output using the apparatus of the present invention may be derived as follows:

15 The cross-sectional area,  $a$ , of a cylindrical vessel may be computed as:

$$a = (1/Z - 1/Z_0) \rho L = (\Delta Z / Z Z_0) \rho L \approx \Delta Z \rho L / Z^2_0 \quad (5)$$

20 where  $Z$  is the measured electrical impedance;  $Z_0$  is the baseline electrical impedance;  $\rho$  is the resistivity of blood (typically 150-200 ohm-cm);  $L$  is the spacing between the sense electrodes; and  $\Delta Z = Z - Z_0$ .

25 The instantaneous flow of blood,  $Q$ , through blood vessels of cross-sectional area  $a$  may be computed from:

$$Q = a^2 P / 8 \eta L \eta \quad (6)$$

30 where  $\eta$  is the dynamic viscosity of blood,  $P$  is the average blood pressure drop along the blood vessel (a linear function of the maximum difference during the cardiac cycle) and  $L$  is the inter-electrode spacing.

35 Cardiac Output ("CO") therefore may be computed by integrating  $Q$  over predefined intervals (e.g., one minute intervals):

$$CO = \int Q \, dt \quad (7)$$

- 32 -

5           Applicant has observed that even in the  
simple parallel cylindrical model referred to in FIG.  
1B, the relation between impedance changes and cardiac  
output is complex and dependent on the electrode  
configuration as well as multiple time-varying  
10 physiological parameters. Known bio-impedance  
algorithms, such as the Kubicek equation (equation  
(4)), when used with previously known apparatus, do not  
account for this complexity, and therefore have  
achieved limited clinical use.

15           In accordance with the present invention,  
however, the sense electrodes may be disposed in close  
proximity to the ascending aorta, which initial testing  
has shown to provide a sharp and reproducible waveform  
that linearly tracks the ascending aorta blood flow  
20 waveform (as determined by an implanted flow meter).  
This linear time-varying (i.e., with cardiac cycle)  
relationship between blood flow and impedance change  
may be described as:

$$25 \qquad Q(t) = T(G, Z_0, t) \Delta Z(t) \qquad (8)$$

where  $Q$  is the computed blood flow;  $T$  is a transfer  
function;  $G$  is a constant dependent upon the inter-  
electrode spacing and size of the electrodes; and  $t$  is  
30 the time interval relative to the cardiac cycle (e.g.,  
the p wave of the EKG).

The transfer function  $T$  of equation (8) is  
empirically derived from in-vivo experiments in  
patients with in-dwelling flow probes and continuous  
35 impedance measurements. A look-up table,  $LUT(t)$ , is  
generated from the above-described experiments and is  
used to estimate the instantaneous flow  $Q$ .  $CO$  is then  
calculated based on the integral of  $Q$  over, for  
example, a one minute period, or by integrating the

- 33 -

5 ensemble average of one cardiac cycle and multiplying  
by the heart rate:

$$CO \approx K/Z_0^2 \int LUT(t) \Delta Z(t) dt \quad (9)$$

10 where K is an empirically-derived constant.

Further alternative embodiments of the present invention also may include additional sensors to enable other types of quantitative analysis. For example, diodes suitable for employing blood oximetry techniques based on near infrared light absorption also  
15 may be disposed on the endotracheal tube to measure blood oxygen saturation levels. In particular, multiple light emitting diodes, including one or more red-light and infrared emitting diodes, may be disposed  
20 on the endotracheal tube, on the inflatable cuff or member, or both, for obtaining blood oxygen saturation measurements using transreflectance oximetry techniques, as described, for example, in U.S. Patent 5,099,842, the entirety of which is incorporated herein  
25 by reference.

Referring now to FIG. 9A, use of the apparatus of the present invention is described as a controller for fluids administration. In FIG. 9A, cardiac output is measured by apparatus 170, which may  
30 be any of the foregoing embodiments, and includes endotracheal tube 171 disposed in patient 200. Apparatus 170 is used to monitor hemodynamic status and as a metric to control the administration of fluids intravenously via lumen 172 coupled to fluid supply  
35 system 173. Computer 174, which may be an IBM-compatible PC (and, for example, the same computer that computes cardiac output from the impedance values output by impedance recorder 110 and digital sampler 112), controls fluid supply system 172.

- 34 -

5                   Operation of the apparatus of FIG. 9A is as follows. After a one unit loss of blood, for example, it is known that cardiac output changes but that heart rate and blood pressure do not. Thus, decreased cardiac output can be used to monitor the amount of  
10                   fluids to be given to a patient. The apparatus of FIG. 9A provides a closed-loop system wherein the amount of fluid injected into the patient is controlled by the cardiac output computed as described hereinabove. In particular, a baseline cardiac output measurement is  
15                   obtained and then a bolus of 50 cc of fluid is given while cardiac output is measured continuously. As long as the cardiac output increases, additional boluses of fluid are given periodically, e.g., every 15 minutes. This process may be repeated several times a day for a  
20                   critically ill patient.

                  Referring now to FIG. 9B, use of the apparatus of the present is described as a controller for pacemaker 180. Generally, it is desirable to maximize cardiac output for the lowest possible heart  
25                   rate, since the lower the heart rate, the lower the myocardial oxygen consumption. In the arrangement of FIG. 9B, cardiac output is measured by apparatus 181, which may be any of the foregoing embodiments, and includes endotracheal tube 182 disposed in patient 200.  
30                   The output of apparatus 181 is used, in conjunction with computer 183, as a metric to control the setting of pacemaker 180 as described hereinafter.

                  A baseline cardiac output measurement is first obtained and then the heart rate is reduced by a  
35                   predetermined amount, e.g., two beats/min, while the cardiac output is continuously monitored by apparatus 181. As long as the cardiac output increases or remains unchanged, the heart rate is periodically further lowered by the predetermined amount, for

- 35 -

5       example, by 2 beats/min every 15 minutes. The process  
of reducing heart rate while monitoring cardiac output  
is continued until either a minimum desired heart rate  
is obtained or the cardiac output measured by apparatus  
181 begins to decrease. If the cardiac output is  
10       determined to have decreased, the heart rate is  
returned to the preceding higher rate.

Initial testing of the methods and apparatus  
constructed in accordance with the present invention  
has yielded results comparable to catheterization  
15       techniques, but with a continuous output. Animal tests  
have been conducted using an implanted occluder within  
the inferior vena cava to vary preload and a Doppler  
ultrasound flow probe implanted on the ascending aorta  
to obtain samples for correlation to the output of the  
20       bio-impedance recorder. Good correlation of the  
Doppler measurements to the bio-impedance determined  
with apparatus similar to that of FIG. 4A has been  
obtained. In addition, no damage to tracheal mucosa  
has been observed, even after extended periods of  
25       intubation.

Although preferred illustrative embodiments  
of the invention are described above, it will be  
obvious to one skilled in the art that various changes  
and modifications may be made therein without departing  
30       from the invention and that the appended claims are  
intended to cover all such changes and modifications  
which fall within the true spirit and scope of the  
invention. For example, applicant expects that the  
apparatus and methods of the present invention may be  
35       advantageously applied to animal subjects employed in  
clinical studies, as well as humans.

- 36 -

What Is Claimed Is:

1. Apparatus for use in combination with a bioelectrical impedance recorder and circuitry for processing the output of the bioelectrical impedance recorder to compute a metric corresponding to a patient's cardiac output, the apparatus comprising:

an endotracheal tube having a proximal portion, a distal portion, and a longitudinal axis;  
first and second sense electrodes disposed on the distal portion and electrically coupled to the bioelectrical impedance recorder, the first and second sense electrodes spaced apart a first distance along the longitudinal axis;

means for urging the first and second electrodes against an interior wall of the patient's airway;

first and second current electrodes electrically coupled to the bioelectrical impedance recorder for injecting a sense current into the patient's thorax, the first and second current electrodes spaced apart a second distance greater than the first distance,

wherein the first and second sense electrodes generate a signal corresponding to the bioelectrical impedance of blood flow through the aorta and the signal is provided to the bioelectrical impedance recorder.

2. The apparatus as defined in claim 1 further comprising an external sense electrode coupled to the bioelectrical impedance recorder, one of the first and second sense electrodes and the external sense electrode generating an alternate signal corresponding to the bioelectrical impedance of blood

- 37 -

flow through the aorta, the alternate signal being provided to the bioelectrical impedance recorder.

3. The apparatus as defined in claim 1 wherein the current electrodes are disposed on the endotracheal tube.

4. The apparatus as defined in claim 1 wherein the second sense electrode is located circumferentially away from the first sense electrode, so that a line intersecting the first and second sense electrodes forms an angle  $\alpha$  relative to the longitudinal axis of the endotracheal tube.

5. The apparatus as defined in claim 4 wherein the angle  $\alpha$  is a range of 25 to 45 degrees.

6. The apparatus as defined in claim 4 further comprising third and fourth sense electrodes disposed on the endotracheal tube, the third sense electrode disposed near, but circumferentially spaced apart from, the first sense electrode and the fourth sense electrode disposed near, but circumferentially spaced apart from, the second sense electrode, so that a line intersecting the third and fourth sense electrodes is orthogonal to a line intersecting the first and second sense electrodes.

7. The apparatus as defined in claim 1 wherein the endotracheal tube comprises at least first and second lumens, the first and second sense electrodes comprising first and second wires disposed for sliding movement through the first and second lumens, respectively.

- 38 -

8. The apparatus as defined in claim 7 wherein the first and second wires include pre-stressed portions that cause the first and second sense electrodes to deflect outwardly when extended from the first and second lumens, respectively, the pre-stressed portions constituting the means for urging.

9. The apparatus as defined in claim 1 wherein the endotracheal tube further comprises an expandable member for retaining the endotracheal tube at a desired location in the passageway.

10. The apparatus as defined in claim 9 wherein the expandable member constitutes the means for urging.

11. The apparatus as defined in claim 10 wherein the endotracheal tube includes an expandable member and the first and second sense electrodes are disposed upon the expandable member.

12. The apparatus as defined in claim 9 wherein the expandable member is an inflatable cuff, and the endotracheal tube further comprises a lumen for inflating the inflatable cuff.

13. The apparatus as defined in claim 1 wherein at least one of the current electrodes is disposed on an inflatable cuff.

14. The apparatus as defined in claim 1 wherein the endotracheal tube is adapted to be inserted in the trachea of the patient through the mouth, a nasal passageway, or a tracheotomy port.



- 39 -

15. The apparatus as defined in claim 1 wherein the apparatus further comprises reference marks on the proximal end of the tube to determine circumferential orientation of the endotracheal tube within the patient's trachea.

16. The apparatus as defined in claim 1 further comprising a fluid administration system for injecting a bolus of fluid into the vascular system of the patient, the fluid administration system coupled to the circuitry for processing and responsive to the metric corresponding to the cardiac output.

17. The apparatus as defined in claim 1 further comprising a pacemaker controlling the heart rate of the patient, the pacemaker coupled to the circuitry for processing and responsive to the metric corresponding to the cardiac output.

18. A method of measuring the cardiac output of an organism comprising steps of:

positioning first and second sense electrodes within an airway of the organism in the vicinity of the ascending aorta, the first and second electrodes spaced apart a first distance;

coupling first and second current electrodes to inject a current into the thorax of the organism, the first and second current electrodes spaced apart a second distance greater than the first distance;

applying a voltage between the first and second current electrodes so that a current flows through the tissues of the organism disposed along the second distance between the first and second current electrodes; and

- 40 -

detecting a voltage developed across the first and second sense electrodes caused by the current flowing in the tissues of the organism, the voltage varying in accordance with changes in the bioelectrical impedance of the tissues.

19. The method as defined in claim 18 wherein the step of positioning the first and second sense electrodes in an airway of the organism further comprises steps of:

positioning the first sense electrode within the trachea of the organism near the ascending aorta; and

positioning the second sense electrode within the trachea of the organism so that the first and second electrodes are aligned with an axis of the ascending aorta of the organism.

20. The method as defined in claim 18 wherein the step of positioning the first and second current electrodes comprises a step of positioning the first and second current electrodes on an external surface of the organism.

21. The method as defined in claim 18 wherein the first and second sense electrodes are disposed on an endotracheal tube and the step of positioning the first and second sense electrodes further comprises a step of inserting the endotracheal tube in the trachea of the organism through a nasal passageway, the mouth of the organism, or a tracheotomy port.

- 41 -

22. The method as defined in claim 18 wherein the steps of applying a voltage between the first and second current electrodes and detecting a voltage developed across the first and second sense electrodes are performed continuously.

23. The method as defined in claim 18 further comprising steps of:

providing a fluid administration system for injecting a bolus of fluid intravenously into the organism's vascular system;

periodically actuating the fluid administration system responsive to the detected voltage developed across the first and second sense electrodes.

24. The method as defined in claim 23 wherein the step of periodically actuating the fluid administration system is performed every 15 minutes only while the cardiac output is measured to be increasing.

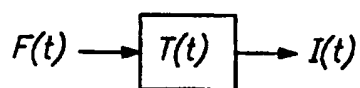
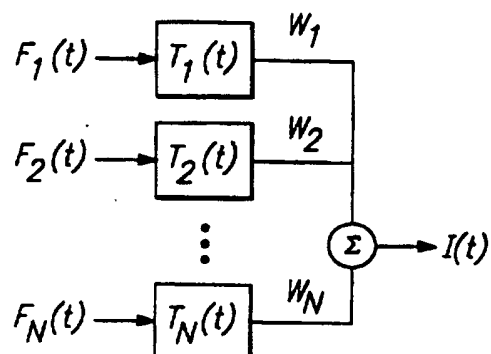
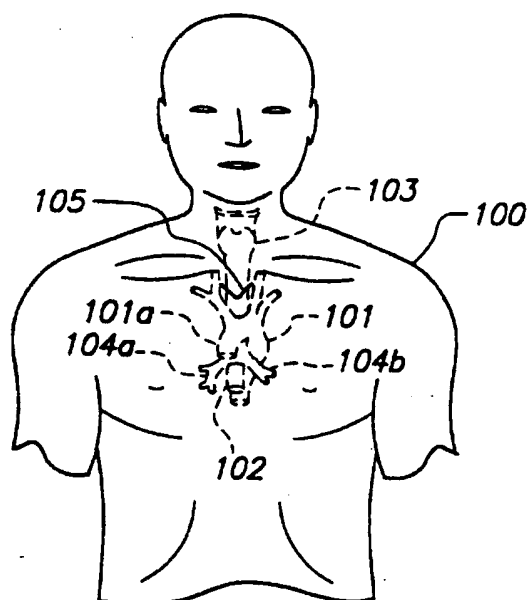
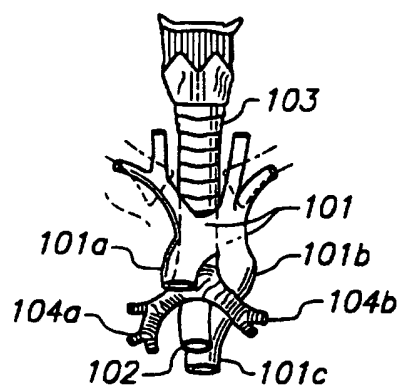
25. The method as defined in claim 18 further comprising steps of:

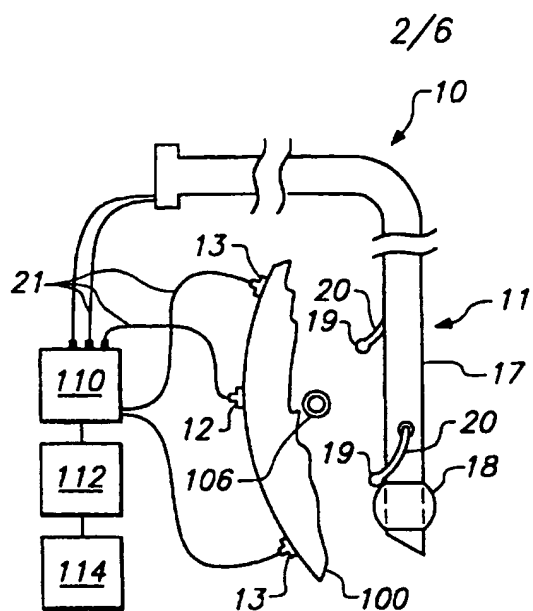
providing a pacemaker electrically coupled to the heart of the organism to control heart rate; and

adjusting the heart rate responsive to voltage developed across the first and second sense electrodes to optimize cardiac output.

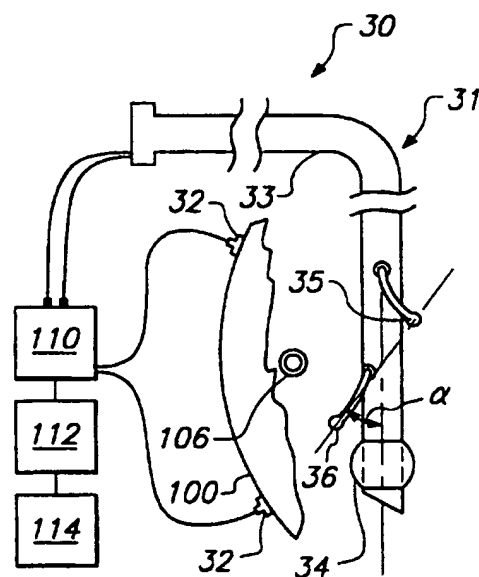
26. The method as defined in claim 25 wherein the step of adjusting the heart rate comprises a step of lowering the heart rate to obtain either a predetermined minimum heart rate or until the cardiac output is measured to be decreasing.

1/6

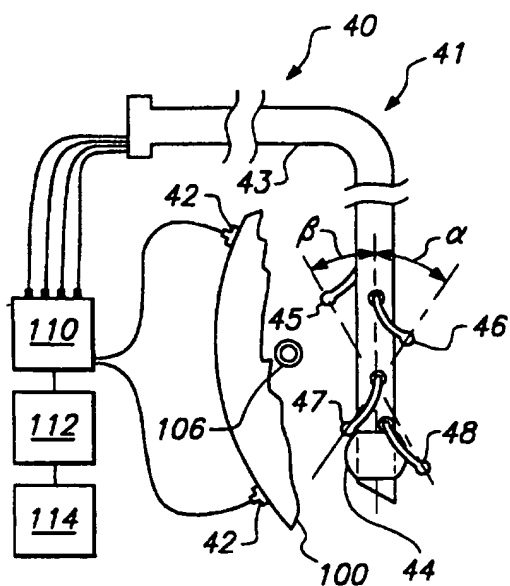
**FIG. 1A****FIG. 1B****FIG. 2A****FIG. 2B**



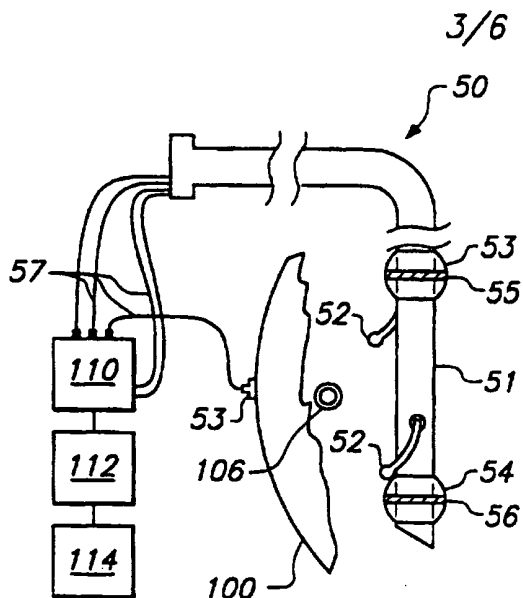
**FIG. 3A**



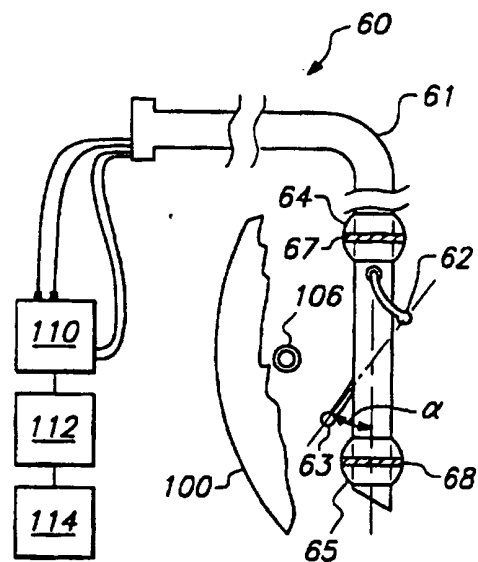
**FIG. 3B**



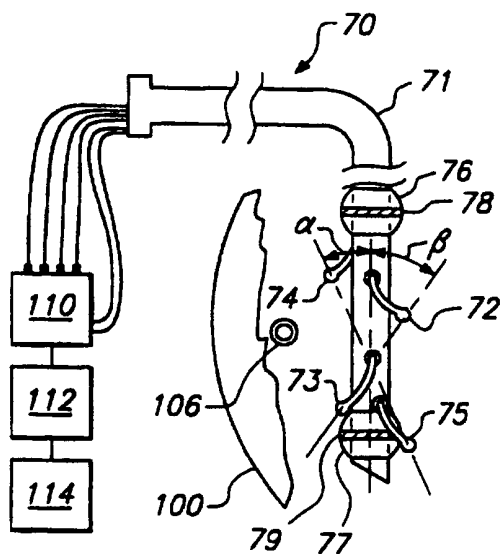
**FIG. 3C**



**FIG. 4A**

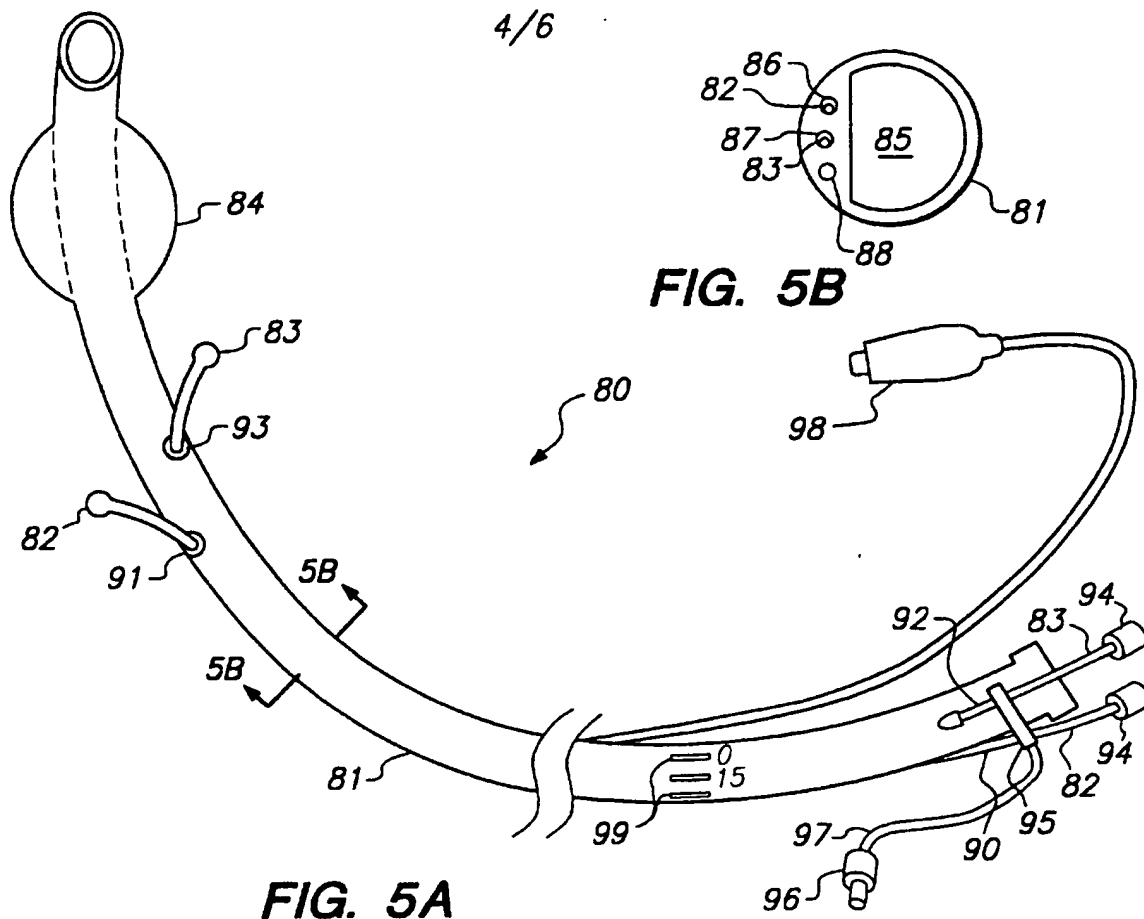


**FIG. 4B**

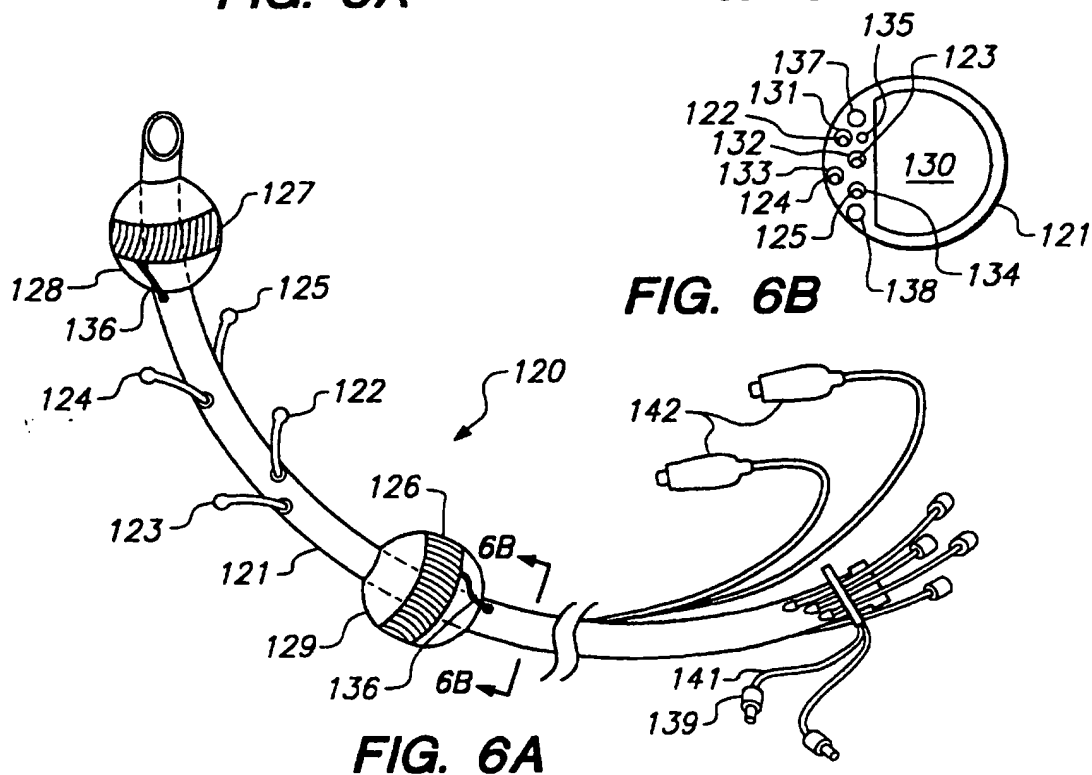


**FIG. 4C**

4/6



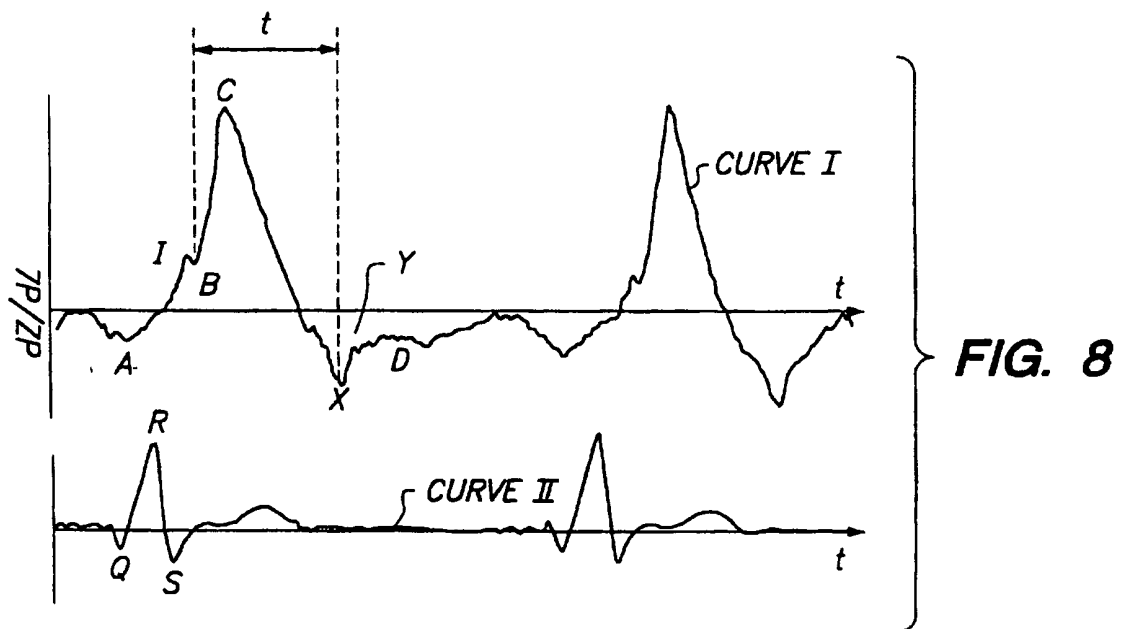
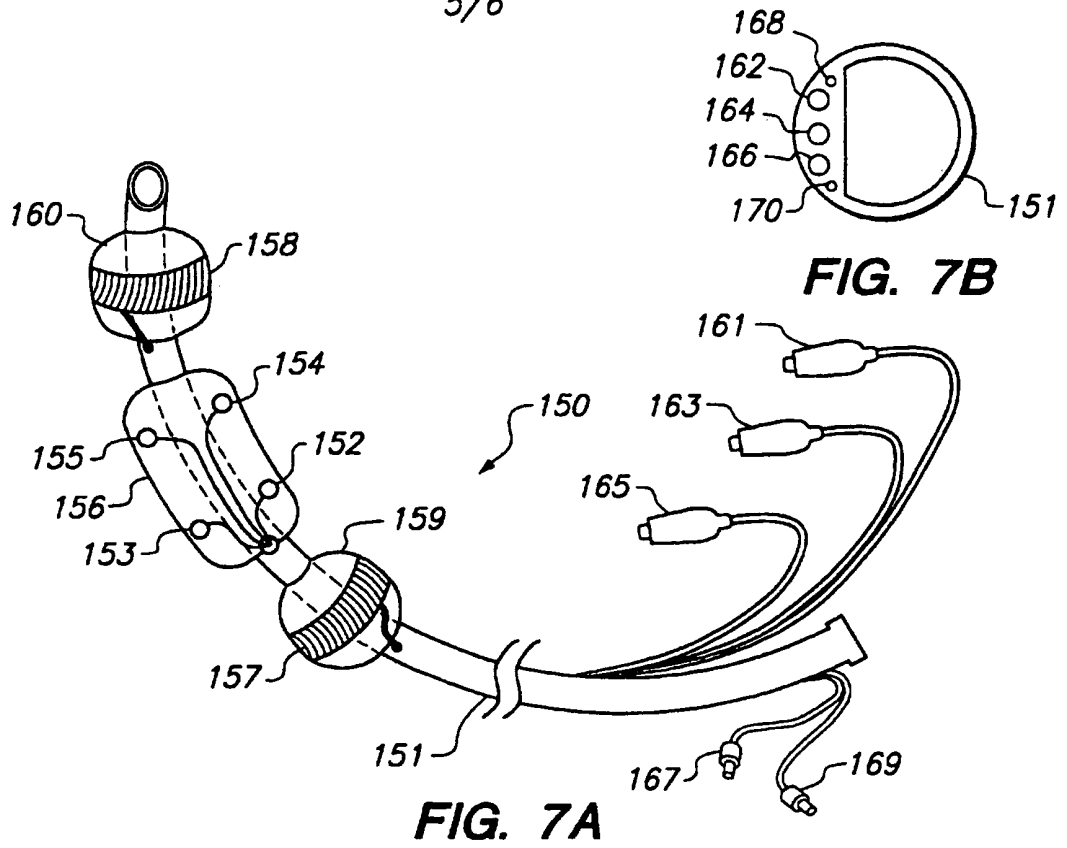
**FIG. 5A**



**FIG. 6B**

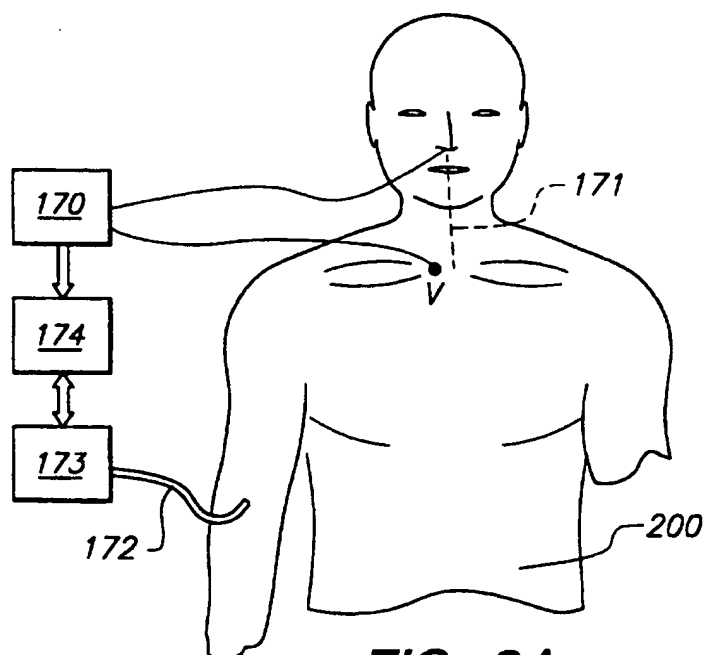
**FIG. 6A**

5/6

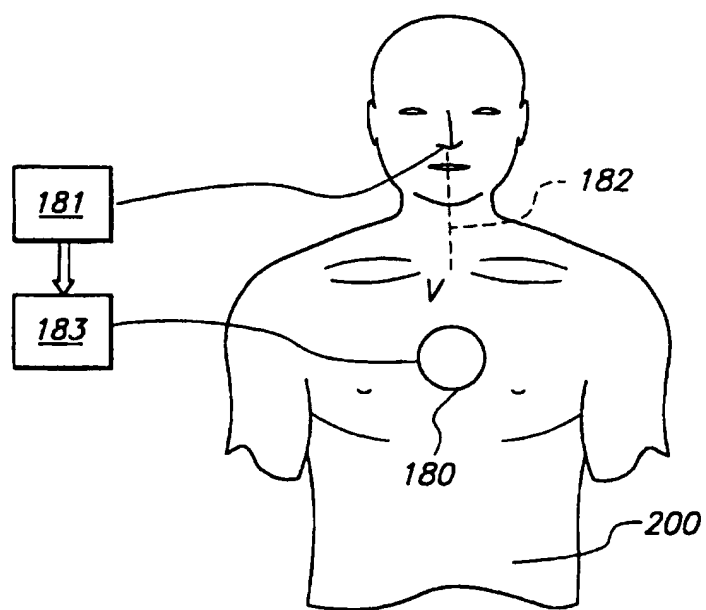




6/6



**FIG. 9A**



**FIG. 9B**

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US97/06369

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : A 61 B 5/05

US CL : 128/734

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/734, 671, 635, 774

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US RE. 30101 A (KUBICEK ET AL.) 25 September 1979, col. 7, lines 20-40.	1-7, 9-15, 17-22, 25, 26
Y	US 5,379,765 A (KAJIWARA ET AL.) 10 January 1995, col. 3, lines 1-35, Fig. 4	1-7, 9-15, 17-22, 25, 26
Y	US 5,477,860 A (ESSEN-MOLLER) 26 December 1995, col. 4, lines 57-63.	15

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

01 AUGUST 1997

Date of mailing of the international search report

21 AUG 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

PAMELA L. WINGOOD

Telephone No. (703) 308-2676